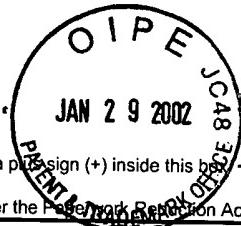


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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number Of Pages In This Submission	16 pages + 17 references	Attorney Docket No.	441742000102
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### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declarations	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ol style="list-style-type: none"> <li>1. Form PTO-1449 - 6 pages + duplicate copy</li> <li>2. Seventeen (17) references</li> <li>3. Return receipt postcard</li> </ol>
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

### SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual Name	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018 Johny U. Han (Registration No. 45,565)
Signature	
Date	January 7, 2002

### CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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PATENT  
Docket No. 441742000102

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Patricia Ellison

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Russell A. HOUSER et al.

Serial No.: 09/903,219

Filing Date: July 10, 2001

For: PERCUTANEOUS BYPASS GRAFT  
AND SECURING SYSTEM

Examiner: To Be Assigned

Group Art Unit: 3739

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 C.F.R. § 1.97 AND § 1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of documents numbered on the Form 1449: 34, 73, 77, 84, 90, 98-99, 116, 127, 129, 138, 141-142, 144, and 150-152, are submitted herewith. However, copies of documents numbered 1-33, 35-72, 74-76, 78-83, 85-89, 91-97, 100-115, 117-126, 128, 130-137, 139-140, 143, 145-149, and 153-156 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/415,776, filed October 8, 1999, now issued as U.S. patent no. 6,293,955, and Serial Number 08/966,003, filed November 7, 1997, now

issued as U.S. patent no. 5,989,276, and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. §1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- With the application; accordingly, no fee or separate requirements are required.
- Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
  - A fee is required. A check in the amount of \* is enclosed.
  - A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly; no fee is believed to be due.
- After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of \* is enclosed.
  - A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 441742000102. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 7, 2002

Respectfully submitted,

By: Johney U. Han  
Johney U. Han  
Registration No. 45,565

Morrison & Foerster LLP  
755 Page Mill Road  
Palo Alto, California 94304-1018  
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Sheet 1 of 6

Form PTC-1449

Docket Number 441742000102

Application Number 09/903,219

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

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 PATENT & TRADEMARK OFFICE  
U.S.A.

Applicant

Russell A. HOUSER et al.

Filing Date July 10, 2001

Group Art Unit 3739

Mailing Date January 7, 2002

**U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date Appropriate
	1.	07/29/1980	4,214,587	Sakura, Jr.			
	2.	01/04/1983	4,366,819	Kaster			
	3.	01/18/1983	4,368,736	Kaster			
	4.	08/26/1986	4,607,637	Berggren et al.			
	5.	11/25/1986	4,624,257	Berggren et al.			
	6.	04/14/1987	4,657,019	Walsh et al.			
	7.	05/19/1987	4,665,906	Jervis			
	8.	11/29/1988	4,787,386	Walsh et al.			
	9.	04/17/1990	4,917,087	Walsh et al.			
	10.	04/17/1990	4,917,090	Berggren et al.			
	11.	04/17/1990	4,917,091	Berggren et al.			
	12.	08/21/1990	4,950,227	Savin et al.			
	13.	11/26/1991	5,067,957	Jervis			
	14.	01/07/1992	5,078,736	Behl			
	15.	10/20/1992	5,156,613	Sawyer			
	16.	03/02/1993	5,190,546	Jervis			
	17.	08/10/1993	5,234,447	Kaster et al.			
	18.	02/21/1995	5,391,156	Hildwein et al.			
	19.	04/11/1995	5,405,322	Lennox et al.			
	20.	08/22/1995	5,443,497	Venbrux			
	21.	04/02/1996	5,503,635	Sauer et al.			
	22.	11/05/1996	5,571,167	Maginot			
	23.	01/07/1997	5,591,226	Trerotola et al.			
	24.	01/28/1997	5,597,378	Jervis			
	25.	05/13/1997	5,628,784	Strecker			
	26.	08/12/1997	5,657,429	Wang et al.			

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Docket Number 441742000102	Application Number 09/903,219
Applicant	Russell A. HOUSER et al.
Filing Date July 10, 2001	Group Art Unit 3739
Mailing Date January 7, 2002	

	28.	09/09/1997	5,665,117	Rhodes			
	28.	09/23/1997	5,669,934	Sawyer			
	29.	10/14/1997	5,676,670	Kim			
	30.	11/25/1997	5,690,675	Sawyer et al.			
	31.	12/09/1997	5,695,504	Gifford, III et al.			
	32.	12/16/1997	5,697,968	Rogers et al.			
	33.	12/30/1997	5,702,418	Ravenscroft			
	34.	02/03/1998	5,713,917	Leonhardt et al.			
	35.	02/24/1998	5,720,755	Dakov			
	36.	03/10/1998	5,725,544	Rygaard			
	37.	03/17/1998	5,728,133	Kontos			
	38.	05/12/1998	5,749,375	Maginot			
	39.	05/12/1998	5,749,895	Sawyer et al.			
	40.	05/26/1998	5,755,775	Trerotola et al.			
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	43.	06/09/1998	5,762,458	Wang et al.			
	44.	08/25/1998	5,797,920	Kim			
	45.	08/25/1998	5,797,934	Rygaard			
	46.	09/22/1998	5,810,884	Kim			
	47.	09/29/1998	5,814,005	Barra et al.			
	48.	10/20/1998	5,824,015	Sawyer			
	49.	01/19/1999	5,861,003	Latson et al.			
	50.	02/09/1999	5,868,759	Peyser et al.			
	51.	02/09/1999	5,868,761	Nicholas et al.			
	52.	02/09/1999	5,868,770	Rygaard			
	53.	02/16/1999	5,871,536	Lazarus			
	54.	08/03/1999	5,931,842	Goldsteen et al.			
	55.	08/10/1999	5,934,286	Maginot			
	56.	08/17/1999	5,938,672	Nash			

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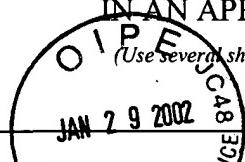
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Docket Number 441742000102	Application Number 09/903,219
Applicant	Russell A. HOUSER et al.
Filing Date July 10, 2001	Group Art Unit 3739
Mailing Date January 7, 2002	

57.	08/18/1999	5,938,696	Goicoechea et al.			
58.	08/31/1999	5,944,019	Knudson et al.			
59.	08/31/1999	5,944,730	Nobles et al.			
60.	08/31/1999	5,944,738	Amplatz et al.			
61.	08/31/1999	5,944,750	Tanner et al.			
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64.	10/12/1999	5,964,782	Lafontaine et al.			
65.	10/19/1999	5,968,053	Revelas			
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68.	10/26/1999	5,972,017	Berg et al.			
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70.	11/02/1999	5,976,178	Goldsteen et al.			
71.	11/09/1999	5,979,455	Maginot			
72.	11/16/1999	5,984,955	Wisselink			
73.	11/23/1999	5,989,276	Houser et al.			
74.	11/23/1999	5,989,287	Yang et al.			
75.	11/30/1999	5,993,468	Rygaard			
76.	12/14/1999	6,001,124	Bachinski			
77.	12/21/1999	6,004,347	McNamara et al.			
78.	12/28/1999	6,007,576	McClellan			
79.	01/04/2000	6,010,529	Herweck et al.			
80.	01/25/2000	6,017,352	Nash et al.			
81.	02/01/2000	6,019,788	Butters et al.			
82.	02/29/2000	6,030,370	Kupka et al.			
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84.	02/29/2000	6,030,395	Nash et al.			
85.	03/14/2000	6,036,702	Bachinski et al.			
86.	03/14/2000	6,036,703	Evans et al.			

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USPTO C48

Docket Number 441742000102	Application Number 09/903,219
Applicant	Russell A. HOUSER et al.
Filing Date July 10, 2001	Group Art Unit 3739
Mailing Date January 7, 2002	

PATENT & TRADEMARK OFFICE	87.	03/14/2000	6,036,705	Nash et al.			
	88.	04/11/2000	6,048,362	Berg			
	89.	05/02/2000	6,056,762	Nash et al.			
	90.	05/09/2000	6,059,824	Taheri			
	91.	05/16/2000	6,063,114	Nash et al.			
	92.	05/30/2000	6,068,654	Berg et al.			
	93.	06/06/2000	6,071,305	Brown et al.			
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	95.	09/05/2000	6,113,612	Swanson et al.			
	96.	09/12/2000	6,117,147	Simpson et al.			
	97.	09/19/2000	6,120,432	Sullivan et al.			
	98.	11/21/2000	6,149,681	Houser et al.			
	99.	09/25/2001	6,293,955 B1	Houser et al.			

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Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	100.	08/01/1996	WO 96/22745	WIPO			
	101.	04/17/1997	WO 97/13463	WIPO			
	102.	04/17/1997	WO 97/13471	WIPO			
	103.	05/09/1997	WO 97/16122 A1	WIPO			
	104.	08/07/1997	WO 97/27893 A1	WIPO			
	105.	08/07/1997	WO 97/27897	WIPO			
	106.	08/07/1997	WO 97/27898	WIPO			
	107.	09/04/1997	WO 97/31575 A1	WIPO			
	108.	11/06/1997	WO 97/40754 A1	WIPO			
	109.	11/27/1997	WO 97/43961	WIPO			
	110.	01/29/1998	WO 98/03118	WIPO			
	111.	2/19/1998	WO 98/06356	WIPO			
	112.	02/26/1998	WO 98/07399	WIPO			

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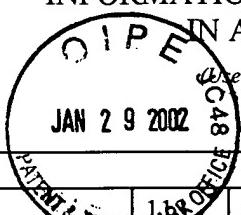
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Docket Number 441742000102	Application Number 09/903,219
Applicant	Russell A. HOUSER et al.
Filing Date July 10, 2001	Group Art Unit 3739
Mailing Date January 7, 2002	

Ref ID	Date	WO Number	Source					
114.	03/05/1998	WO 98/08456	WIPO					
114.	05/04/1998	WO 98/19608	WIPO					
115.	05/14/1998	WO 98/19618	WIPO					
116.	05/14/1998	WO 98/19625	WIPO					
117.	05/14/1998	WO 98/19629	WIPO					
118.	05/14/1998	WO 98/19630	WIPO					
119.	05/14/1998	WO 98/19631	WIPO					
120.	05/14/1998	WO 98/19632	WIPO					
121.	05/14/1998	WO 98/19634	WIPO					
122.	05/14/1998	WO 98/19635	WIPO					
123.	05/14/1998	WO 98/19636 A2	WIPO					
124.	05/14/1998	WO 98/19732	WIPO					
125.	09/11/1998	WO 98/38939	WIPO					
126.	09/11/1998	WO 98/38941	WIPO					
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130.	12/10/1998	WO 98/55027 A2	WIPO					
131.	12/23/1998	WO 98/57590	WIPO					
132.	12/23/1998	WO 98/57591	WIPO					
133.	12/23/1998	WO 98/57592	WIPO					
134.	01/07/1999	WO 99/00055	WIPO					
135.	04/22/1999	WO 99/18887	WIPO					
136.	08/05/1999	WO 99/38454 A2	WIPO					
137.	09/16/1999	WO 99/45852 A2	WIPO					
138.	09/30/1999	WO 99/48427 A1	WIPO					
139.	12/09/1999	WO 99/62408 A1	WIPO					
140.	12/09/1999	WO 99/62415 A1	WIPO					
141.	12/16/1999	WO 99/63910 A1	WIPO					
142.	12/23/1999	WO 99/65409 A1	WIPO					
143.	02/24/2000	WO 00/09040 A1	WIPO					

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Applicant	Russell A. HOUSER et al.
Filing Date July 10, 2001	Group Art Unit 3739
Mailing Date January 7, 2002	

PATENT & TRADEMARK OFFICE 89	Ref. No.	Date	Application/Office	WIPO					
	145.	05/18/2000	WO 00/27311 A1	WIPO					
	146.	05/18/2000	WO 00/27313 A2, A3	WIPO					
	147.	05/04/2000	WO 00/24339 A1	WIPO					
	148.	07/13/2000	WO 00/40176 A1	WIPO					
	149.	09/14/2000	WO 00/53104 A1	WIPO					
	150.	06/14/2001	WO 01/41653 A1	WIPO					
	151.	02/25/1998	EP 0 824 901 A2, A3	Europe					
	152.	02/03/1999	EP 0 894 475 A1	Europe					

## OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	153.	Yusuf, S. W. et al. (1994). "Transfemoral Endoluminal Repair of Abdominal Aortic Aneurysm with Bifurcated Graft," <i>Lancet</i> 344(8923):650-651.
	154.	Heijmen et al., (1999) "A novel one-shot anastomotic stapler prototype for coronary bypass grafting on the beating heart: feasibility in the pig" <i>J. Thorac. Cardiovascul. Surg.</i> 117:117-125.
	155.	Gorisch, W. et al., (1982) "Heat-induced contraction of blood vessels" <i>Lasers in Surgery and Medicine</i> 2:1-13.
	156.	Gragg, A.H. et al., (1982) "Endovascular diathermic vessel occlusion" <i>Radiology</i> 144:303-308.

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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.	